



Food and Agriculture
Organization of the
United Nations



iotc ctoi



OBSERVER PROGRAM DEVELOPMENT and OBSERVER LOGISTICAL COORDINATION WORKSHOP



CapMarine
Capricorn Marine Environmental



Food and Agriculture
Organization of the
United Nations



iotc ctoi

Indian Ocean Tuna Commission
Commission des Thons de l'Océan Indien

Follow up processes :

incidents & critical incidents

non-compliance & infringements

IOTC ROS OLC TR 9.04

Category: Debriefers Training

[IOTC ROS OLC TR 9]



CapMarine
Capricorn Marine Environmental



Definitions of: Incidents & critical incidents



Incident is an event that:

- ✓ disturbs observer or vessel normal operations;
- ✓ does not involve the perception of a threat to life;
- ✓ does not include elements of physical and emotional damage;
E.g.: vessel entanglement with fixed fishing gear, observer minor illness or injury.



Critical incident is an event that:

- ✓ is out of the range of normal experience;
- ✓ involves the perception of a threat to life;
- ✓ can include elements of physical and emotional damage;
E.g.: vessel fire, sinking; observer serious illness or injury, assault on the observer, observer missing at-sea or presumed fallen overboard, death.





Definitions of: Non-compliance & infringements



Non-compliance

- ✓ **failure of a specific vessel (and therefore its Flag State) to comply with binding CMMs.**

E.g.: the refusal to release mobulid rays as stipulated in IOTC Res. 19/03.



Infringement

- ✓ **breach/infraction to the national & international legislation;**
- ✓ **may lead to the application of sanctions (fines, removal of fishing licence / flag licence, prosecution);**

E.g.: assault on the observer, shark finning, the use of steel-wire trace in longline (in some countries), or the use of drifting large-scale gillnets.





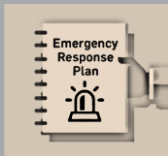
Follow up processes on: Incidents & critical incidents



1. **Vessel safety check conducted before each boarding**



2. **Vessel safety conditions surveyed against the list of minimum safety requirements**



3. **Emergency Action Plan in place**

- ☐ Communication protocol
- ☐ Contact information
- ☐ Follow up response
- ☐ Remedial action
- ☐ Measures to address incident established
- ☐ Procedures to report incidents to CPC/IOTC established





Follow up processes on: **Non-compliance & infringements**



1. Programme managers, debriefers and observers fully aware of legislation of interest to observers.
2. Debriefers and observers aware of the importance of reporting non-compliance and infringements accurately, with support information and evidence.
3. Debriefers to inform Programme managers of infringements or non-compliance stated by observers.
4. Programme managers to inform CPC/IOTC authorities of reported non-compliance & infringements and to provide evidence.
5. National authorities to decide on sanction to impose and to inform IOTC Compliance Committee.





Food and Agriculture
Organization of the
United Nations



Indian Ocean Tuna Commission
Commission des Thons de l'Océan Indien
iotc ctoi

THANK YOU FOR YOUR PARTICIPATION



CapMarine
Capricorn Marine Environmental